

12/27/00  
JC691 U.S. PTO

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

JC715 U.S. PTO  
09/748133  
12/27/00

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Case Docket No. 50229-207

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Russell MUMPER, Michael JAY  
FOR: pH-SENSITIVE MUCOADHESIVE FILM-FORMING GELS AND WAX-FILM  
COMPOSITES SUITABLE FOR TOPICAL AND MUCOSAL DELIVERY OF  
MOLECULES

Enclosed are:

- ☒ 54 pages of specification, claims, abstract.
- ☐ Declaration and Power of Attorney.
- ☐ Priority Claimed.
- ☐ Certified copy of \_\_\_\_\_
- ☒ 12 sheets of formal drawing.
- ☐ An assignment of the invention to \_\_\_\_\_  
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☒ Small Entity Status is Claimed by Applicants
- ☒ Request and Certification Under 35 U.S.C. 22(b)(2)(B)(i)

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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PATENT TRADEMARK OFFICE

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Russell MUMPER et al.
	Title	pH-SENSITIVE MUCOADHESIVE FILM-FORMING GELS AND WAX-FILM COMPOSITES SUITABLE FOR TOPICAL AND MUCOSAL DELIVERY OF MOLECULES
	Atty Docket Number	50229-207

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12-27-00

Date



Signature

Joseph Hyosuk Kim, Reg. No. 41,425

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

097433-12200